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				October 4,	2006	(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	AT	TORNEY DOCKET NO.	CONFIRMATION NO.	
09/834,171	04/12/2001		Seth I. Merrin			2698	
APPLN. TYPE	SMALL ENTITY	RITIES MARKETPLAC	E HAVING INTEGRATIO				
			PUBLICATION FEE DUE	PREV. PAID ISSUE FE	E TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO INER	\$1400 ART UNIT	\$0 CLASS-SUBCLASS	\$0 I	\$1400	12/28/2006	
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		3624	705-037000				
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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		RNEY DOCKET NO.	CONFIRMATION NO.
09/834,171	04/12/2001		Seth I. Merrin		94193.010100	2698
TITLE OF INVENTION	ELECTRONIC SECUR	ITIES MARKETPLACE	E HAVING INTEGRATIO			
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0 1	\$1400	12/28/2006
EXAM	INER	ART UNIT	CLASS-SUBCLASS]		
KYLE, CH		3624	705-037000			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Seth I. Merrin, John Halloran, : G

: Group Art Unit: 3624

Demian Kosofsky, Kevin Lupowitz

Serial No.: 09/834,171 : Examiner: Charles R. Kyle

Filed: April 12, 2001 : Attorney Docket No.: 94193.010100

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Dear Sir-

Further to a telephone conversation between Mr. Tang, reviewing draftsperson on current case, and Ms. Randi Flamenbaum, my paralegal of this day, it was confirmed by Mr. Tang that the figures that were supplied to the Patent Office on May 21, 2004 are acceptable to draft person Mr. Tang. A further copy of these figures, 8 sheets for 9 figures are attached for your ready reference.

As I understand, the Notice of Draft Person's Patent Drawing Review dated September 8, 2006 was precipitated by figures that were part of an amendment, filed on July 12, 2006, in response to a January 12, 2006 office action as well as notice to record the substance of a June 26, 2006 interview with Examiner Charles Kyle and others. During the interview, as noted on page 6, paragraph 5 of the July 12, 2006 amendment, these figures were discussed to illustrate the prior art and the claimed invention. As a result of the requirement to make the substantive matters discussed during this interview of June 26, 2006 to become part of the record of this current case, copies of these figures were provided with the amendment as filed on July 12, 2006.

Please note that the figures attached to the end of the amendment filed July 12, 2006 were part of the information that was discussed during the interview of June 26, 2006. These figures were <u>not</u> filed with the originally filed application.

We also respectfully request that in view of the granted petition to make special that this case be "red tagged" to expedite the issuance of the patent for this current U.S. Serial Number 09/834.171.

Respectfully submitted, GREENBERG TRAURIG, LLP.

By: By! I him

Barry J. Schindler

Dated: October 4, 2006

CORRESPONDENCE:

Barry J. Schindler, Esq. GREENBERG TRAURIG LLP Met Life Building 200 Park Avenue, 34th Floor New York, New York 10166 Phone: 212-801-2244 Fax: 212-801-6400

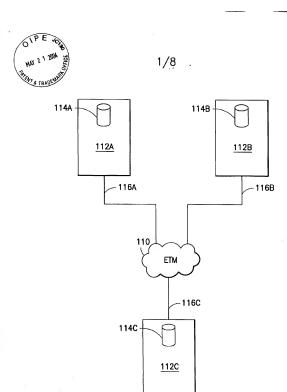


FIG.1

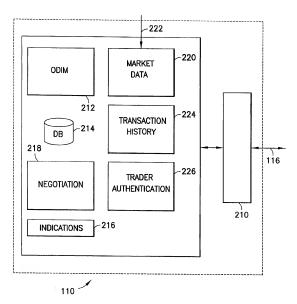
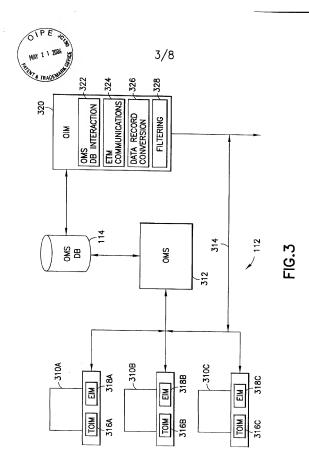


FIG.2





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428	TOTAL ORDER SIZE				
426	LIMIT				
424	ORDER				
422	SECURITY				
420	SECURITY				
418	TRANSACTION SECURITY SECURITY ORDER LIMIT ORDER TYPE SYMBOL TYPE PRICE SIZE				
416	ORDER LAST UPDATE TIME				
414	ORDER				
412	TRADER O				
410	ORDER				

FIG.4



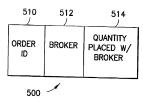
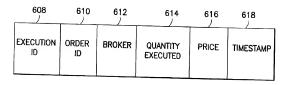


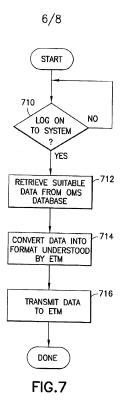
FIG.5

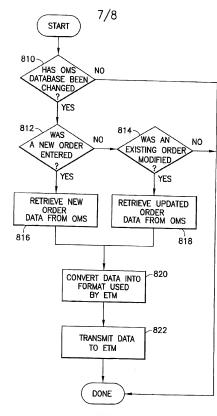


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FIG.6







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FIG.8



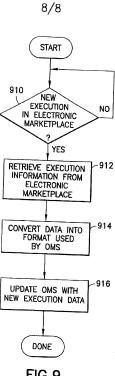


FIG.9